

# Valuations on Lattice Polytopes

## Part I

Monika Ludwig

Technische Universität Wien

Tensor Valuations at Sandbjerg, September 2014

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- A function  $z : \mathcal{F} \rightarrow \langle \mathbb{A}, + \rangle$  is a *valuation*  $\iff$

$$z(K) + z(L) = z(K \cup L) + z(K \cap L)$$

for all  $K, L \in \mathcal{F}$  such that  $K \cup L, K \cap L \in \mathcal{F}$ .

# The Hadwiger Classification Theorem 1952

## Theorem

A functional  $z : \mathcal{K}(\mathbb{R}^n) \rightarrow \mathbb{R}$  is a continuous and rigid motion invariant valuation



$\exists c_0, c_1, \dots, c_n \in \mathbb{R}$  such that

$$z(K) = c_0 V_0(K) + \dots + c_n V_n(K)$$

for every  $K \in \mathcal{K}(\mathbb{R}^n)$ .

$V_0(K), \dots, V_n(K)$  intrinsic volumes of  $K$   
 $V_n$   $n$ -dimensional volume  
 $2 V_{n-1}(K) = S(K)$  surface area

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New proof by Dan Klain 1995

# Classification of Valuations on Convex Bodies

- **Real valuations:**

Blaschke 1937, Hadwiger 1949, McMullen 1977, Klain 1995, Alesker 1998, Ludwig 1999, Ludwig & Reitzner 1999, Schuster 2006, Bernig & Fu 2011, Haberl & Parapatits 2014, ...

- **Vector and tensor valuations:**

Hadwiger & Schneider 1971, Schneider 1972, McMullen 1977, Alesker 1999, Ludwig 2002, Haberl & Parapatits 2014+, ...

- **Convex body valued and star body valued valuations:**

Schneider 1974 (Minkowski endomorphisms), Ludwig 2002 (Minkowski valuations), Kiderlen 2006, Haberl & Ludwig 2006, Ludwig 2006, Schneider & Schuster 2006, Schuster 2007, Haberl 2009, Abardia & Bernig 2011, Wannerer 2011, Schuster & Wannerer 2012, Abardia 2012, Parapatits 2014, Li & Yuan & Leng 2014+, ...



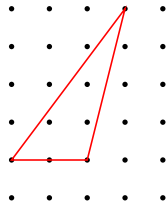
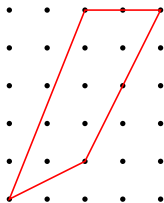


# Valuations on Lattice Polytopes

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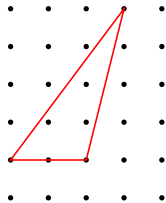
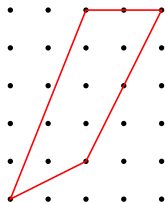
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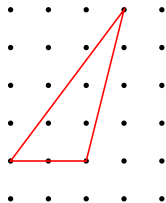
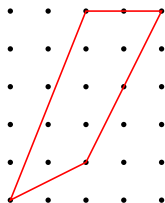
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- Applications

- Geometry of numbers
- Crystallography
- Statistical physics
- Integer programming

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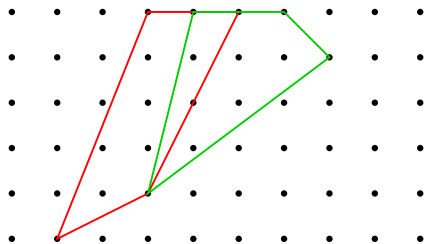
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## Question.

Classification of  $SL_n(\mathbb{Z})$  and translation invariant valuations  $z : \mathcal{P}(\mathbb{Z}^n) \rightarrow \mathbb{R}$

# The Betke & Kneser Classification Theorem 1985

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A functional  $z : \mathcal{P}(\mathbb{Z}^n) \rightarrow \mathbb{R}$  is an  $SL_n(\mathbb{Z})$  and translation invariant valuation



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Betke & Kneser: unimodular valuations

# Classification Theorems

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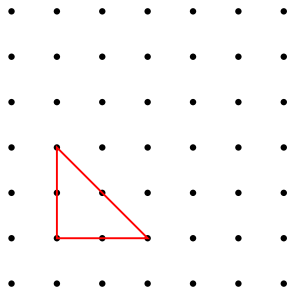


$L(P)$  number of points in  $P \cap \mathbb{Z}^n$  for  $P \in \mathcal{P}(\mathbb{Z}^n)$   
(lattice point enumerator)

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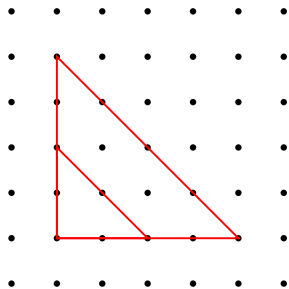
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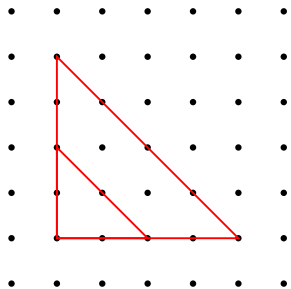




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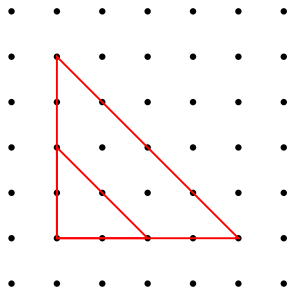


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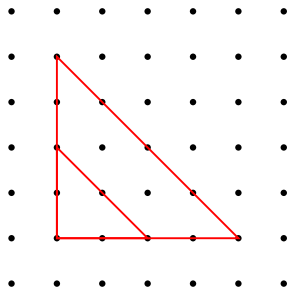
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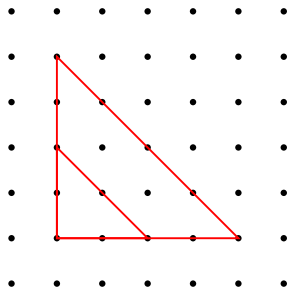
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## Theorem (McMullen 1975)

If  $P_1, \dots, P_m \in \mathcal{P}(\mathbb{Z}^n)$  and  $k_1, \dots, k_m \in \mathbb{N}$ , then  $L(k_1 P_1 + \dots + k_m P_m)$  is a polynomial in  $k_1, \dots, k_m$ .

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## Corollary (Raman Sanyal 2014)

The functional  $L_1 : \mathcal{P}(\mathbb{Z}^n) \rightarrow \mathbb{R}$  is Minkowski additive.



# Ehrhart Polynomial for a Basic Lattice Simplex

- $S$   $n$ -dimensional lattice simplex
- $S$  basic simplex  $\Leftrightarrow V(S) = \frac{1}{n!}$

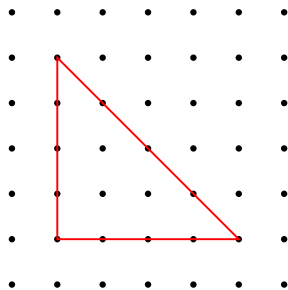
# Ehrhart Polynomial for a Basic Lattice Simplex

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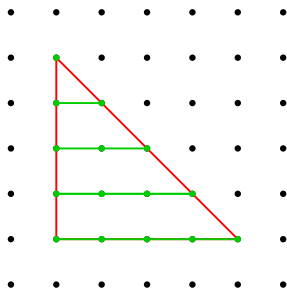
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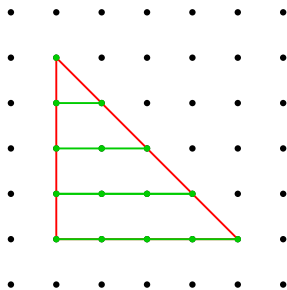
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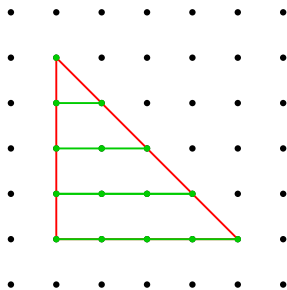
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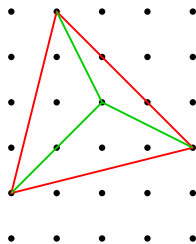
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- $S$  basic  $n$ -dimensional simplex  $\Rightarrow$   
 $S = \phi T_n$  with  $\phi \in \text{SL}_n(\mathbb{Z})$   
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# Inclusion-Exclusion Principle

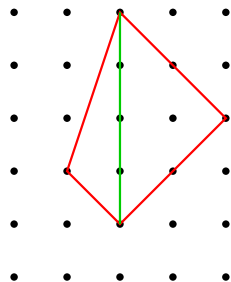
## Theorem (Betke 1979; McMullen: AiM 2009)

Let  $z : \mathcal{P}(\mathbb{Z}^n) \rightarrow \mathbb{A}$  be a valuation, where  $\mathbb{A}$  is an Abelian group. If the lattice polytopes  $P_1, \dots, P_k$  satisfy that their union and the intersection of at most  $n$  of them are all lattice polytopes, then

$$z(P_1 \cup \dots \cup P_k) = \sum_{\substack{1 \leq i_1 < \dots < i_m \leq k \\ 1 \leq m \leq \min\{k, n\}}} (-1)^{m-1} z(P_{i_1} \cap \dots \cap P_{i_m}).$$



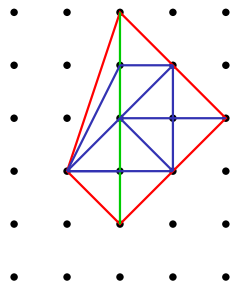
# Dissections of Lattice Polytopes



- $P$  lattice polytope
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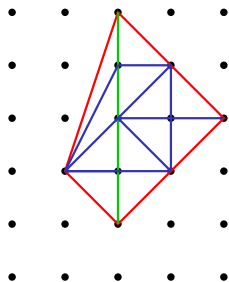


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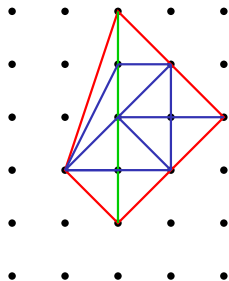
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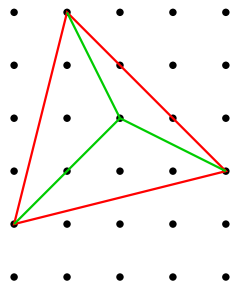


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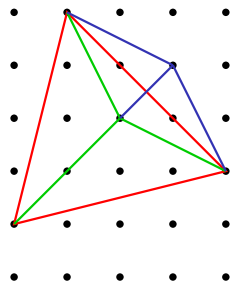
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**Reeve tetrahedron**  $[(0,0,0), (1,0,0), (0,1,0), (1,1,k)]$  with  $k \in \mathbb{N}$

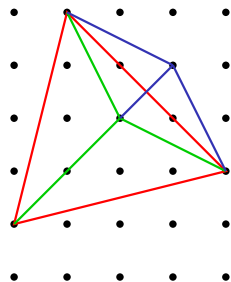
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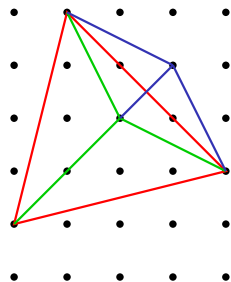


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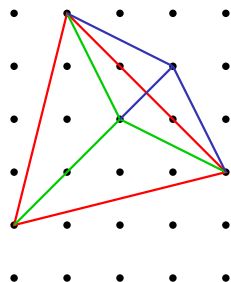
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- Proof of the inclusion-exclusion principle
- Similar arguments for dissecting and complementing by basic simplices  
 $\Rightarrow$  existence of Ehrhart polynomial

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- Proof of the inclusion-exclusion principle
- Similar arguments for dissecting and complementing by basic simplices
  - ⇒ existence of Ehrhart polynomial
  - ⇒ Betke & Kneser theorem



# Valuations on Lattice Polytopes

## Theorem (Betke & Kneser 1985)

- Every  $SL_n(\mathbb{Z})$  and translation invariant valuation  $z : \mathcal{P}(\mathbb{Z}^n) \rightarrow \mathbb{A}$  is uniquely determined by its values on  $T_0, \dots, T_n$ .
- Every choice of values on  $T_0, \dots, T_n$  in  $\mathbb{A}$  defines an  $SL_n(\mathbb{Z})$  and translation invariant valuation.

- $\mathbb{A}$  Abelian group
- $T_k = [0, e_1, \dots, e_k]$

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- Tensor valuations and convex body valued valuations (Part 2)

Thank you!